



MAYUR LEATHER PRODUCTS LIMITED
POLICY ON PRESERVATION OF DOCUMENTS AND
ARCHIVAL POLICY

P OLICY FOR PRESERVATION OF DOCUMENTS

1. Preface

This policy is framed pursuant to Regulation 9 and Regulation 30 (8) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (hereinafter referred to as 'Listing Regulations / SEBI (LODR)') and Companies Act, 2013.

The policy is framed for the purpose of systematic identification, categorization, maintenance, review, retention, archival and destruction of documents received or created in the course of its business. The policy gives guidelines on how to identify documents that need to be maintained, how long certain documents should be retained, how and when those documents should be disposed of, if no longer needed and how the documents should be accessed and retrieved when needed.

The Policy, to the extent applicable for listed companies, shall come into force with effect from the date SEBI (LODR) Regulations, 2015 taking effect with respect to the Company.

2. Purpose

Regulation 9 of the Listing Regulations mandates that a listed entity shall have a policy for preservation of documents, approved by its Board of Directors, classifying them in at least two categories as follows

- a) Documents whose preservation shall be permanent in nature;
- b) Documents with preservation period of not less than eight years after completion of the relevant transactions.

Provided that the listed entity may keep documents specified in clauses (a) and (b) in electronic mode.

Further Regulation 30 (8) of the Listing Regulations refers to an archival policy as per which all events or information which has been disclosed to stock exchange(s) under Regulation 30 shall be hosted on the website of the Company for a minimum period of five years and thereafter as per the archival policy of the company, as disclosed on its website.

Besides the above, as per applicable provisions of Companies Act, 2013, certain documents are required to be preserved permanently or up to a certain prescribed time.

Accordingly this policy has been framed keeping in view particularly the requirements of Listing Regulations and the provisions of Companies Act, 2013

3. Interpretation:

The words and expressions used and not defined in this policy but defined in the Companies Act, 2013 or rules made there under or the Securities and Exchange Board of India Act, 1992 or regulation made there under or Depositories Act, 1996 shall have the same meanings respectively assigned to them in those acts, rules and regulations.

4. Policy for Preservation of Documents

A) Preservation of Documents with specific reference to the Companies Act, 2013 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- a) Documents whose preservation shall be permanent in nature shall be preserved permanently by the Company subject to the modifications, amendments, addition, deletion or any changes made therein from time to time;
(Annexure A of this policy contains the details of documents whose preservation shall be permanent in nature).
- b) Other Documents shall be preserved for a period of not less than eight (8) years after completion of the relevant transactions subject to the modifications, amendments, addition, deletion or any changes made therein from time to time.
(Annexure B of this policy contains the details of documents with preservation period of not less than eight years after completion of the relevant transactions)
- c) Documents with preservation period other than those mentioned in para (2) above
(Annexure C attached herewith contains the details of documents with preservation period other than those mentioned in para (2) above.

B) Documents to be made available on the website of the Company as required by the Companies Act, 2013 and the Listing Regulations

1. The Company shall maintain a functional website containing

- the basic information about the Company,
- All Information and/or documents as specified in the applicable provisions of Companies Act, 2013,
- All Information and/or documents as specified in Regulation 46 (2) of the Listing Regulations,
- All Information and/or documents mentioned in Part (B) of Annexure 2 of this policy, which are required to be made available on the website of the Company.
- All Information and/or documents as mentioned in Regulation 30 of the Listing Regulations on Material Events.

2. The Company shall ensure that contents of the website are correct.

3. The Company shall update any change in the content of its website within two working days from the date of such change in content.

4. Information and/ or Documents required to be made available under Regulation 30 of the Listing Regulations shall be made available on the website simultaneously with disclosure to Stock Exchanges

5. The information and/or documents relating to all events or information which has been disclosed to stock exchange(s) under Regulation 30 shall be hosted on the website of company for a minimum period of five years.

6. Information and/ or Documents shall be arranged under proper heads and sub heads in such a manner that they can easily be located/ searched by the viewers, viz.

i) The information and/or documents shall be arranged financial year wise with further segregation into four quarters of the financial year.

ii) All policies etc. or the information/ documents of a general nature shall be clubbed together at one place.

5. Roles & Responsibilities:

The respective Functional/ Departmental heads of the Company shall be responsible for maintenance and preservation of documents in respect of the areas of operations falling under the charge of each of them, in terms of this Policy.

6. Applicability and Modes of Preservation:

This Policy is applicable to all documents maintained in physical and electronic mode by the Company. The preservation of documents should be such as to ensure that there is no tampering, alteration, destruction or anything that endangers the content, authenticity, utility or accessibility of the documents.

The documents not specifically covered under this policy shall be preserved and maintained in accordance with the provisions of the respective acts, rules, guidelines and regulations as applicable under which those documents are maintained.

The Registrar and Share Transfer Agent shall ensure that the correct procedures are followed for maintenance of the Records required to be maintained by them.

7. General:

Notwithstanding anything contained in this policy, the Company shall ensure compliance with any additional requirements as may be prescribed under any laws/regulations either existing or arising out of any amendment to such laws/regulations or otherwise and applicable to the Company from time to time.

8. Statutory Requirements :

If as per any other law of land including Information Technology Act, a physical or electronic record should be preserved for a longer period than what has been stipulated in this policy, then the document shall be preserved as per the applicable statutory stipulations.

9. Amendments To The Policy :

The Board of Directors can amend this Policy, as and when deemed fit. This Policy would be subject to revision / amendment in accordance with the Rules, Regulations, Notifications etc. on the subject as may be issued by relevant statutory authorities, from time to time.

Effective Date: 20.07.2024

Date of the approval by the Board: 20.07.2024

Sd/-

Chairman's Signature

Annexure-A

Documents whose preservation shall be permanent in nature:

Sl. No.	Document	Place of preservation	Person responsible for custody	Reference of provision	Period
1.	Copies of all Documents and information as originally filed under section 33 (incorporation) of the Companies Act, 1956	Registered office	Company Secretary or any other person authorized by the Board for the purpose	Sec 7(4) of the Companies Act, 2013	Permanent, till dissolution of the company
2.	Register of Renewed and Duplicate Share Certificates in Form SH-2	Registered office of the company or at such other place where the Register of Members is kept	Company Secretary or any other person authorized by the Board for the purpose.	Rule 6 (3) of Companies (Share Capital and Debenture) Rules, 2014	Permanent
3.	Share certificate forms and related books and documents – Disputed cases	Registered office	Company Secretary or such other person as the Board may authorize	Rule 7 (3) of the Companies (Share Capital And Debenture)Rules, 2014	Permanent
4.	Register of Charges in Form CHG-7	Registered office	Company Secretary or any other person authorised by the Board for the purpose	Rule 10(4) of the Companies (Registration of Charge) Rules, 2014	Permanent
5.	Register of Members along with the index in Form MGT-1	Registered office	Company Secretary or any other person authorized by the Board for such purpose	Rule 15(1) of the Companies (Management and Administration) Rules, 2014	Permanent
6	Minutes books of general meetings	Registered office	Company Secretary or any director duly authorized by the Board for the purpose	Rule 25(1)(e) of the Companies (Management and Administration) Rules, 2014	Permanent
7.	Minutes books of the Board and committee meetings	Registered office	Company Secretary or any director duly authorized by the Board for the purpose	Rule 25(1)(f) of the Companies (Management and Administration) Rules, 2014	Permanent

8	Register in form MBP2 u/s 186 of the Companies Act, 2013 (loan/guarantee/security or making an acquisition of securities)	Registered office	Company Secretary or any other person authorised by the Board for the purpose.	Rule 12(3) of the Companies (Meetings of Board and its Powers) Rules, 2014	Permanent
9.	Register in form MBP3 u/s 187 of the Companies Act, 2013 (investment held in the name of any other person)	Registered office	Company Secretary or if there is no company secretary, any director or any other officer authorised by the Board for the purpose.	Rule 14(3) of the Companies (Meetings of Board and its Powers) Rules, 2014	Permanent
10.	Register in Form MBP-4 u/s 189 of the Companies Act, 2013 (Register of contracts or arrangements in which directors are interested as per section 184 & 188 of the Act)	Registered office	Company Secretary or any other person authorised by the Board for the purpose	Rule 16(3) of the Companies (Meetings of Board and its Powers) Rules, 2014	Permanent

Annexure-B

Documents with preservation period of not less than eight years after completion of the relevant transactions:

Sl. No.	Document	Place of preservation	Person responsible for custody	Reference of provision	Period
1.	Instrument creating charge or modification thereon	Registered Office	Company Secretary any other person authorised by the Board for the purpose.	Rule 10(4) of the Companies (Registration of Charge) Rules, 2014	8 years from the date of satisfaction of charge by the company
2.	Copies of all annual returns prepared under section 92 and copies of all certificates and documents required to be annexed thereto	Registered Office	Company Secretary	Rule 15 (3) of the Companies (Management and Administration) Rules, 2014	8 years from the date of filing with the Registrar of Companies.
3.	All notices in Form MBP-1 for disclosure of concern/ interest received u/s 184 of the Companies Act, 2013 and Rule 9(1) the Companies (Meetings of Board and its Powers) Rules, 2014	Registered Office	Company Secretary or any other person authorised by the Board for the purpose.	Rule 9(3) of the Companies (Meetings of Board and its Powers) Rules, 2014	8 years from the end of the financial year to which it relates
4.	The attendance register of Board & Committee Meetings	Registered Office	Company Secretary or Where there is no Company Secretary, any other person authorised by the Board for this purpose	4.1.6 & 4.1.7 of Secretarial standards -1	8 financial years from the date of last entry made therein and may be destroyed thereafter with the approval of the Board.
5.	Office copies of Board Meeting Notices, Agenda, Notes on Agenda and other related papers of the company	Registered Office	Company Secretary or Where there is no Company Secretary, any other person authorised by the Board for this purpose	8.2 of Secretarial Standards-1	As long as they remain current or for 8 financial years whichever is later and may be destroyed thereafter with the approval of the Board
6.	Office copies of general meeting Notices, scrutinizers report and other related papers of the company	Registered Office	Company Secretary Where there is no Company Secretary, any other person authorised by the Board for this purpose	18.2 (Secretarial Standards-2)	As long as they remain current or for 8 financial years whichever is later

7.	Any other register /documents required by any law, for the time being in force	Registered Office	Company Secretary		8 financial years
8.	Books of Accounts	Registered Office	CFO		8 financial years

B) Illustrative list of Documents identified under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 apart from Documents mentioned in Annexure A & Annexure B (Part A)

Sl. No.	Document	Provision	Regulation no	Period
1.	Compliance certificate (for compliance of Reg. 7(2) signed by Compliance officer & Share transfer agent)	within one month of end of each Half year	7(3)	8 financial years
2.	Statement giving the number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter	within twenty one days from the end of each quarter	13(3)	8 financial years
3.	Quarterly compliance report on corporate governance	within fifteen days close of the quarter	27(2)	8 financial years
4.	Prior intimation to stock exchange about the meeting of the board of directors	Within the time prescribed	29	8 financial years
5.	Disclosures of any material events or information	Within the time prescribed	30	8 financial years
6.	Statement showing holding of securities and shareholding pattern separately for each class of securities	on a quarterly basis, within 21 days from the end of each quarter	31	8 financial years
7.	Statement of deviation(s) or variation(s) in the use of proceeds from the objects stated in the offer document or explanatory statement to the notice for the general meeting, for public issue, rights issue, preferential issue etc.	Within the prescribed time on a quarterly basis	32	8 financial years
8.	Quarterly and year-to-date standalone/ consolidated financial results	Within 45 days from the close of quarter, other than last quarter	33(3)(a)	8 financial years
9.	Annual Audited standalone/ consolidated financial results along with the results of the last quarter	Within 60 days from the end of financial year	33(3)(d)	8 financial years
10	As part of financial results for the half year by way of a note a statement of assets and liabilities as at the end of the half-year	along with quarterly Financial Results	33(3)(f)	8 financial years
11	Annual report	not later than the day of commencement of dispatch to its shareholders	34	8 financial years
12	Annual Information Memorandum	in the manner specified by the Board from time to time	35	8 financial years
13	A certificate from a practicing company secretary, certifying that all certificates have been issued within thirty days of the date of lodgement for transfer, subdivision, consolidation,	within one month of the end of each half of the financial year	40(9)	8 financial years

	renewal, exchange or endorsement of calls/ allotment monies.			
14	Intimation of record date	Notice in advance of atleast seven (3 working days in case of right issue) working days (excluding the date of intimation and the record date) to stock exchange	42	8 financial years
15	Details regarding the voting results of general meetings	within forty eight hours of conclusion of its General Meeting	44	8 financial years
16	Any and all kinds of filing to Stock Exchanges, viz. Press Release, Investors Presentation, etc.	Within the time prescribed	-	8 financial years

***However the disclosures under Regulation 30 shall be hosted on the website for a minimum period of five years.**

C) Other Documents mandated under Companies Act, 2013 for Preservation but no time period prescribed

Sl. No	Document	Place	Reference provision	Period
1.	The postal ballot and all other papers or registers relating to postal ballot including voting by electronic means	In the company	Rule 22 (11) of the Companies (Management and Administration) Rules, 2014	8 financial years

D) Other Documents voluntarily preserved

Sl. No	Document	Reference of provision	Period of preservation
1.	Attendance slips for Annual/ Extraordinary General Meeting	-	8 financial years
2.	Dividend Payment Records	-	8 financial years
3.	Documents arising out of various litigation wherein a company is a party in any manner.	-	As per the directions/orders of the court/s/tribunal/s/ judicial/other authority/ies as may be applicable, in absence of which the documents shall be preserved for a period of not less than eight consecutive calendar years after conclusion of the litigation.

Annexure-C

Documents with preservation period as mentioned in last Column of each entry

Sl. No	Document	Person responsible for custody	Reference of provision	Period
1.	Share certificate related books and documents (other than disputed cases)	Company Secretary or director duly authorised by the Board for the purpose.	Rule 7 (3) of the Companies (Share Capital and Debenture) Rules, 2014	30 years
2.	Share certificates surrendered to company immediately be defaced by stamping or printing the word "cancelled" in bold letter)	Company Secretary or any other person authorised by the Board for the purpose.	Rule 7 (3) of the Companies (Share Capital and Debenture) Rules, 2014	3 years from the date on which they were surrendered and may be destroyed thereafter